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Substitute Form PTO-1449 (Modified)		Attorney's Docket No. 11872-030001	Application No. 09/841,988
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Dung A. Ngo et al.	RECEIVED OCT 18 2001 TECHNOLOGY CENTER 3729 2832
		Filing Date April 25, 2001	
(37 CFR §1.98(b))			

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date Inappropriate
<i>Amw</i>	AA	3,153,216	10/13/1964	Klitten			
	AB	3,212,172	10/19/1965	Davis			
	AC	3,233,311	02/08/1966	Giegerich et al.			
	AD	3,434,087	03/18/1969	Hofmann			
	AE	3,548,355	12/15/1970	Martincic et al.			
	AF	3,611,226	10/05/1971	Cotton et al.			
	AG	3,626,587	12/14/1971	Zickar et al.			
	AH	3,708,897	01/09/1973	Martincic et al.			
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	AK	4,504,813	03/12/1985	Strang			
	AL	4,599,594	07/08/1986	Siman			
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	AN	5,242,760	09/07/1993	Matsuoka et al.			
	AO	5,455,392	10/03/1995	Preu et al.			
<i>Amw</i>	AP	5,470,646	11/28/1995	Okamura et al.			

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
<i>Amw</i>	AQ	0 082 954 A1	07/06/1983	EP				
<i>Amw</i>	AR	2 289 039	05/21/1976	France				
<i>Amw</i>	AS	1,087,594	10/18/1967	Great Britain				
<i>Amw</i>	AT	1,156,369	06/25/1969	Great Britain				

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document	
<i>Amw</i>	AU	Copy of PCT Search Report for PCT/US99/06476 dated 07/09/1999	
<i>Amw</i>	AV	Copy of PTO Form 892 from U.S. Serial No. 09/276,164	

Examiner Signature <i>Amw</i>	Date Considered <i>5/10/03</i>	<i>3/31/04</i>
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
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OCT 15 2001
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Sheet 2 of 2

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(Modified)

U.S. Department of Commerce
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Attorney's Docket No.
11872-030001

Application No.
109841,945

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Dung A. Ngo et al.

Filing Date
April 25, 2001

Group Art Unit
3722

Other Documents (Include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
Am	AW	Patent Abstract of Japan Publication No. JP 58-141515 A
Am	AX	Patent Abstract of Japan Publication No. 59-121810 A2
Am	AY	Report titled: "National Appliance and Equipment Energy Efficiency Program, Analysis of Potential for Minimum Energy Performance Standards for Distribution transformers", Draft Final Report dated 03 May 2000 by ark Ellis & Associates, 44, Albert Street, Wagstaffe, NSW 2257, Australia

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